



# Structure evolution from nanocolumns to nanoporous of nitrogen doped amorphous carbon films deposited by magnetron sputtering

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## ABSTRACT

Different nitrogen doped amorphous carbon (CN<sub>x</sub>) films were obtained by magnetron sputtering of carbon target in argon and nitrogen atmosphere at the increasing negative bias voltages from 0 to 150 V. The films structures have experienced great change, from the novel column to nanoporous structure at the bias voltage of 0 V to the porous structure at 150 V. The proposed growth process was that the CN<sub>x</sub> nuclei grew at 0 V acted as the "seeds" for the growth of the nanocolumns, and ion etching effects at 150 V induced the formation of nanoporous structures. Furthermore, a comparison study showed that the field emission properties of the CN<sub>x</sub> films were related with the introduction of the nitrogen atoms, the size and concentration of sp<sup>2</sup> C clusters and the surface roughness. The films with rougher surface have lower threshold field.

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## 1. Introduction

Carbon-based nanostructure materials, possessing excellent mechanical, chemical, optic and electronic properties, have received great attention in the past decades. Many techniques, e.g. plasma enhanced chemical vapor deposition (PECVD) [1], low-energy cluster beam deposition (LECBD) [2], glancing angle deposition (GLAD) [3], focused ion beam (FIB) [4], and arc discharge [5], were employed to fabricate new kinds of carbon-based nanostructure materials. These nanostructures with controlled structures and dimensions, such as nanotubes, nanoparticles, nanowires, nanoporous, nanolayers and nanocolumns [1–8], have great potential applications in various nano/microelectromechanical, emitters in advanced field emission devices, adsorbents for bulky pollutants, and as electrodes for supercapacitors [1–10].

Currently, nitrogen are widely used as n-type dopant that can effectively manipulate the films microstructure and modify the carbon-based films properties, because nitrogen doping particularly cause the reduction of the energy barrier of the curvature in the basal planes by creating pentagons or heptagons to form nanostructures at lower temperatures. With the presence of nitrogen, fullerene-like carbon nitride films, bamboo-like carbon nanotubes (CNTs) and carbon nitride (CN<sub>x</sub>) nanocolumns were successfully prepared by radio frequency magnetron sputtering [10–13]. More-

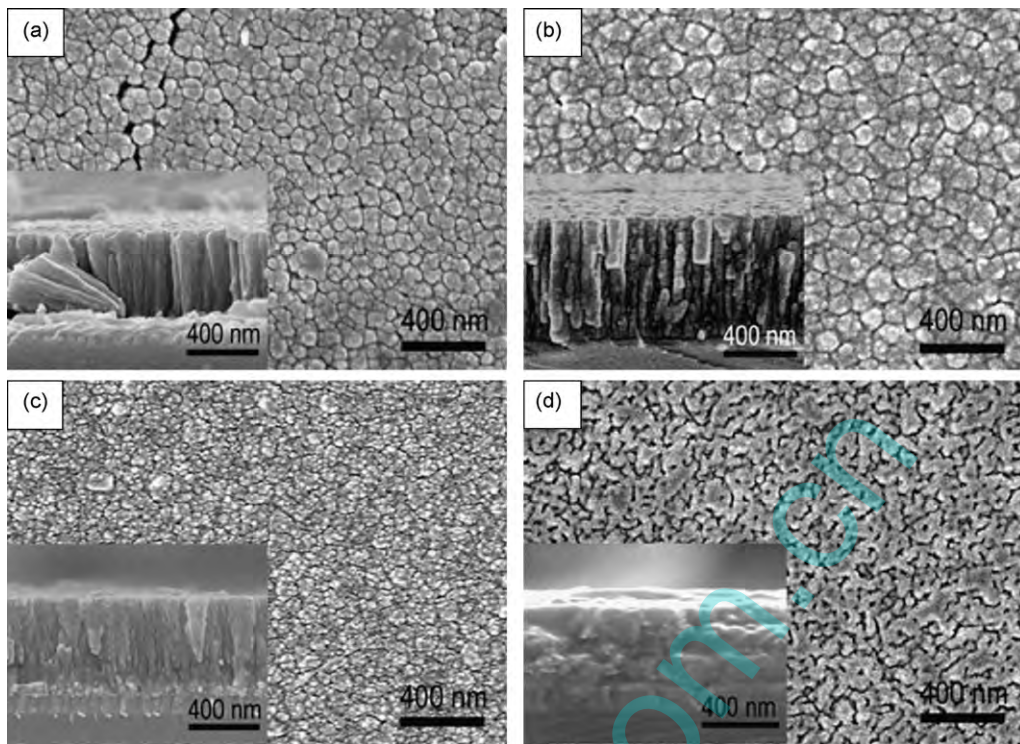
over, some researchers have carefully investigated the influence of nitrogen content on the growth and structures of CN<sub>x</sub> films [14–16], indicating that their microstructures, morphologies and properties were greatly dependent on the growth parameters [14–16].

Scalese et al. [12] firstly reported that the fabrication of CN<sub>x</sub> nanocolumns on silicon wafers was performed by radio-frequency magnetron sputtering at high temperature of 400–600 °C. In our previous work, the CN<sub>x</sub> nanocolumns were successfully fabricated at the low temperature with the assistance of the nickel catalyst [11]. However, the influence of the negative bias voltage on the structure evolution of CN<sub>x</sub> nanocolumns was rarely mentioned and not systematically investigated. Therefore, we focused on the investigation of the microstructure evolution of the CN<sub>x</sub> film at different negative bias. Additionally, the field emission performance was also discussed.

## 2. Experimental details

Nanostructured CN<sub>x</sub> films has been fabricated on the N(100) silicon substrates using a 20 kHz dual target middle frequency magnetron sputtering with a specific power of about 0.065 W/cm<sup>2</sup> (target size: 25 mm × 8 mm × 5 mm) at 300 °C. A resistively heated substrate holder with a distance of 140 mm was placed parallel to carbon target. More details of the deposition system are given elsewhere [11]. The substrates were chemically and ultrasonically cleaned before their introduction into the deposition chamber. Prior to deposition, a base pressure of 4.0 × 10<sup>-3</sup> Pa was achieved

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**Fig. 1.** FE-SEM images of surface and cross-section of the CNx films deposited on Si under 0.5 Pa with a fixed N<sub>2</sub>/Ar ratio (2:5) at different substrate bias: for the film deposited at 0 V (a) for the film deposited –50 V (b) for the film deposited –100 V (c) for the film deposited –150 V (d).

in the chamber with a turbomolecular pumping system. The films were deposited with a pyrolytic carbon target (99.99%) as carbon source. A mixture of 150 sccm nitrogen and 60 sccm argon was introduced in the chamber as reactive gas. In all experiments, once the temperature reached 300 °C, the mixture gases were fed into the vacuum chamber to 0.5 Pa and the deposition was initiated. Depending on self-bias modulated from 0 to 150 V, a series of nanostructured CNx films, from nanocolumns to cluster assembled CNx films with worm-like porous morphology, were obtained after 100 min.

The thicknesses of the films were measured by a surface profilometer, from the step formed at the edge of the coating due to the substrate clamping. A JSM-6701F field emission scanning electron microscopy (FE-SEM) was employed to examine the morphologies of the as-deposited films. Raman spectra of all samples were measured by a micro-Raman with a LABRAM HR 800 microspectrometer at wavelength of 532 nm (2.3 eV). The chemical component of the films was determined by a PHI-5702 multifunctional X-ray photoelectron spectroscopy (XPS), Al K $\alpha$  radiation (photo energy 1486.6 eV) as the excitation source. The energy analyzer energy was set such that the Au (4f<sub>7/2</sub>) line was recorded with a full width at half maximum of 0.9 eV. Fourier transform infrared (FTIR) spectra of CNx films were recorded on an IFS66V/S spectrometer to detect the changes of the bonding structure in the films. The morphologies of the as-deposited films were observed by CSPM4000 atomic force microscopy (AFM), using “constant-force” mode and a Si<sub>3</sub>N<sub>4</sub> tip.

Field emission properties of the resulted films as a cathode were tested by a field emission device in a vacuum of  $1.0 \times 10^{-4}$  Pa. A cylindrical nickel chrome rod with the diameter of 8 mm was used as anode, with a distance between the cathodes was set at 50  $\mu$ m and the testing cathode area was 0.5 cm  $\times$  0.5 cm, whereas the as-deposited samples serving as cathode. During testing process, a brass plate pressed on the edge of the sample surface assures the electrical contact.

### 3. Results and discussion

#### 3.1. FE-SEM images

The FE-SEM images of the surface and cross-section of the CNx films deposited at different negative bias are given in Fig. 1. The film prepared without negative bias, showed an arrayed nanocolumn structure with dome-like surface (Fig. 1a). In the cases of the negative bias at 50 and 100 V (Fig. 1b and c), the columnar structure gradually weakened. And the columnar structure completely disappeared and a worm-like porous appeared at higher value of the negative bias (150 V) (Fig. 1d), which might be related with the bombardment effect of high-energy N ions at 150 V. Detailed explanation will be given below.

#### 3.2. Chemical composition and bonding states

The chemical composition and bonding states of the as-deposited films were determined by XPS. As shown in Fig. 2, clearly, the nitrogen content and the deposition rate were strongly related with the variation of the negative bias. When the negative bias reached to 50 V, the nitrogen content came to the maximum values ( $15.3 \pm 0.4\%$ ) as well as the deposition rate ( $6.8 \pm 0.2$  nm/min). That was in good accordance with those reported by Inoue et al. [13] and Ling et al. [14]. They proposed that nitrogen ions bombardment induced by the negative bias played an important role in CNx film growth. At the lower negative bias, the bombardment with a low-energy particles stream could effectively enhance surface mobility and consequently facilitate film growth. At the excessively higher negative bias, ion bombardment with higher energy particles probably resulted in the chemical and physical etching process, which greatly affected the kinetics of C–N reaction and limited nitrogen content and lowered deposition rate in CNx film [13–15]. The preferential desorption of volatile N<sub>2</sub> and CN-species, should be responsible for the reduction of nitrogen content when the fabrica-

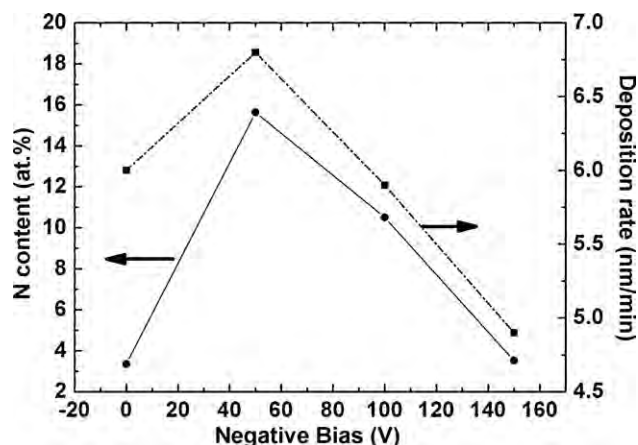


Fig. 2. N atoms content (at.%) and deposition rate plot as bias function.

tion of CN<sub>x</sub> film was performed at higher bias voltage. Particularly, bonds in the interface of the disturbance particles were probably unstable, and could be easily broken out by high-energy particles bombardment, giving rise to a porous structure.

In order to get quantitative information on the chemical composition and bonding states of the as-deposited films, the C1s spectra of the prepared films were fitted by the method already described in a previous paper [16–19] (Fig. 3). The first component C1, denoted as for pure carbon, may be located at 284.4 eV (C1). The second one C2 around 285.2 eV can be attributed to aromatic CN structures with three C atoms bonded to one N atom. The third one C3 located at 286.3 eV can be ascribed to non-aromatic CN phase including sp<sup>3</sup> C bonded to N. The fourth component C4 around 287.9 eV (C4) can be assigned to non-aromatic CN bonds with C atoms having two neighboring N atoms, and a fifth component C5 around 288.8 eV can be assigned to C=O bonding types, respectively [16–19]. The results are shown in Fig. 3.

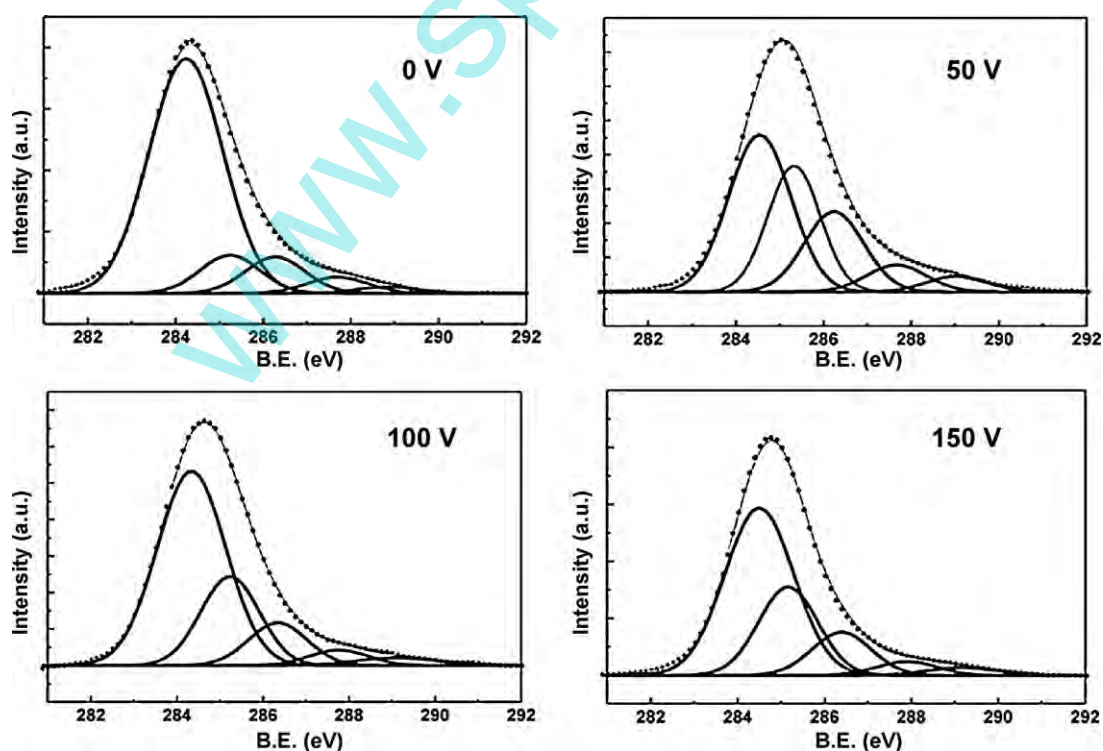


Fig. 3. XPS C1s core spectra of the CN<sub>x</sub> films deposited at different negative bias.

Table 1

XPS C1s fitting results of the CN<sub>x</sub> films deposited at different negative bias.

Samples	C1	C2	C3	C4	C5
0 V	75.0	9.5	9.4	4.5	1.6
50 V	41.0	28.2	20.2	6.7	3.9
100 V	42.1	29.6	17.8	6.9	3.6
150 V	55.5	26.0	12.0	4.0	2.5

The results on the C bonding state evolution as the function of the applied negative bias could give more detailed information, summarized in Table 1. [C1] fraction of C sp<sup>2</sup> decreased suddenly to 41% at the negative bias of 50 V, and gradually increased with the increasing of the negative bias to about 55.5%. [C2] fraction of C sp<sup>2</sup> increased abruptly to 28.2% at the negative bias of 50 V and did not greatly change with the increasing negative bias. The fraction [C3 + C4] increased to 26.9% at the negative bias of 50 V, and decreased to 16% at the negative bias of 150 V. The changes of C5 resembled with that of [C3 + C4].

The N1s spectra of the prepared films were also deconvoluted, as shown in Fig. 4. According to the previous work [16–19], peak N1 (398.2 ± 0.2 eV) is related to non-aromatic CN bonds with N having either a sp<sup>3</sup> hybridization or N bonded to sp<sup>3</sup> carbon (NC<sub>3</sub>). Peak N2 (399.2 ± 0.2 eV) can be attributed to N atoms in pyridine structure (aromatic NC<sub>2</sub>). Peak N3, located around 400.7 ± 0.2 eV is characteristic of sp<sup>2</sup> CN structures where N substitutes for C in extended aromatic structures (aromatic NC<sub>3</sub>). Peak N4 (402.3 ± 0.6 eV) can be associated to N–O groups. The results of this analysis are summarized in Table 2.

With the increasing of the applied negative bias, the N1 fraction decreased to 35% at the negative bias of 50 V and gradually increased to 43.4%, which was different from the N2, N3 and N4 fractions variations. The fraction [N2 + N3], related to the aromatic domains, firstly increased when the applied negative bias increased from 0 to 50 V, but decreased gradually with the increasing of the applied negative bias. The N4 fraction showed the maximum value (16.7%) at 150 V. This is a pointer that porous struc-

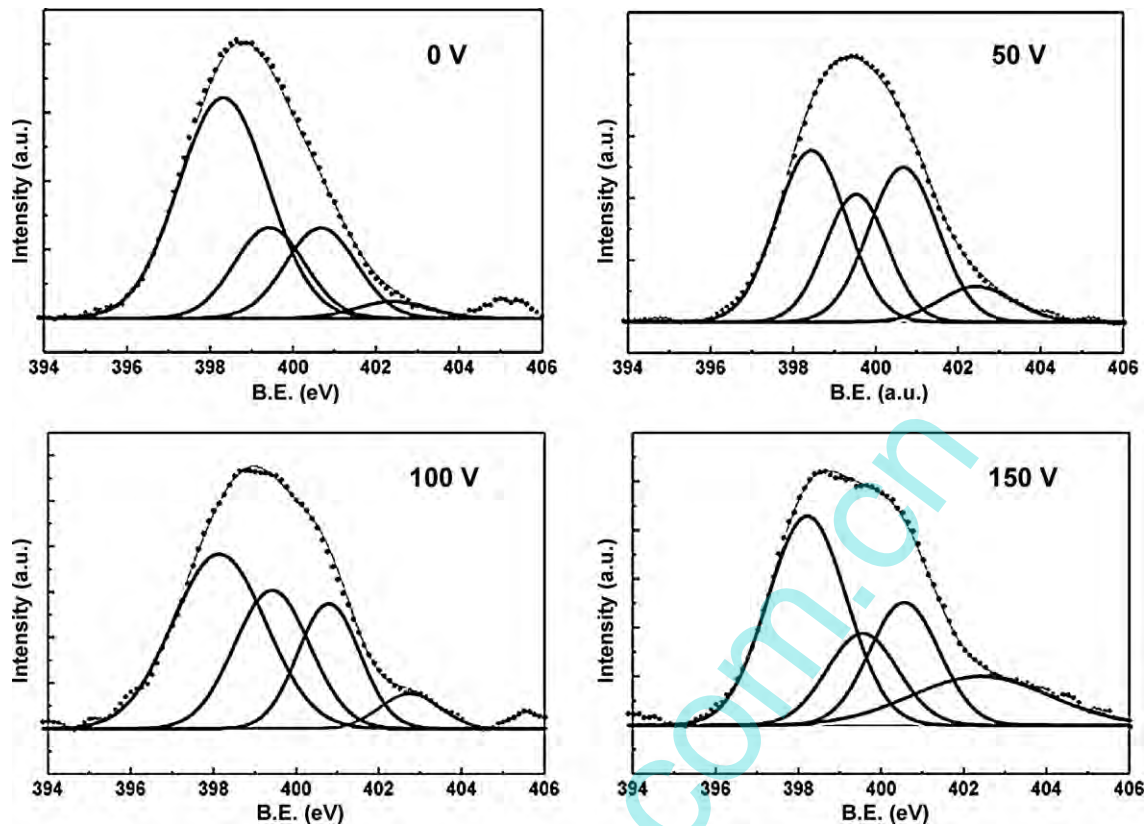


Fig. 4. XPS N1s core spectra of the CN<sub>x</sub> films deposited at different negative bias.

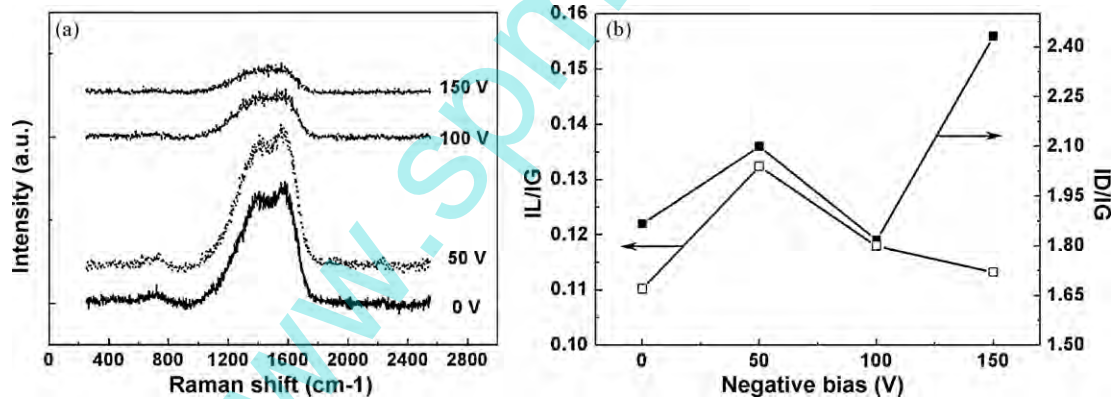


Fig. 5. The Raman spectra of the CN<sub>x</sub> films at different negative bias (a).  $I_D/I_G$  and  $I_L/I_G$  variation with the negative bias (b).

ture gives more specific surface area could adsorb mere oxygen atoms.

Raman spectra of the films in this study are shown in Fig. 5a. All the spectra showed broad band between 900 and 1800  $\text{cm}^{-1}$ , with two main distinct contributions that a G peak originated from optical zone center vibrations ( $E_{2g}$  mode) of all pairs of  $\text{sp}^2$  C atoms in aromatic rings and olefinic chains and a D shoulder peak originated

from the breathing modes of  $\text{sp}^2$  atoms in clusters of sixfold aromatic rings, respectively [20,21]. Other two peaks at about 700 and 2200  $\text{cm}^{-1}$  can be ascribed to the presence of nitrogen, in particular to the out-of-plane transverse optic phonon near the M point zone boundary caused by the curvature of graphene planes induced by the incorporation of nitrogen, and to the  $\text{C}\equiv\text{N}$  bond, respectively [16,17].

To get a deep insight into the applied negative bias effect on the structure evolution of the CN<sub>x</sub> films, the Raman spectra between 900–1800  $\text{cm}^{-1}$  and near 700  $\text{cm}^{-1}$  (L peak) were all deconvoluted into Gauss peaks. The  $I_D/I_G$  ratio was the indicative of the cluster size and the degree of order in the clustered aromatic  $\text{sp}^2$  phase of carbon films [22–25].  $I_L/I_G$  was identified as the quantity of the curvature of graphene planes in this study. Fig. 5b gives the  $I_L/I_G$  and  $I_D/I_G$  variation with the negative bias. With the increasing of negative bias, both  $I_L/I_G$  and  $I_D/I_G$  increased at 50 V and decreased at the

**Table 2**  
XPS N1s fitting results of the CN<sub>x</sub> films deposited at different negative bias.

Samples	N1	N2	N3	N4
0 V	58.0	19.3	19.0	3.7
50 V	35.0	25.0	32.5	7.5
100 V	43.5	28.5	21.8	6.2
150 V	43.4	17.4	22.5	16.7

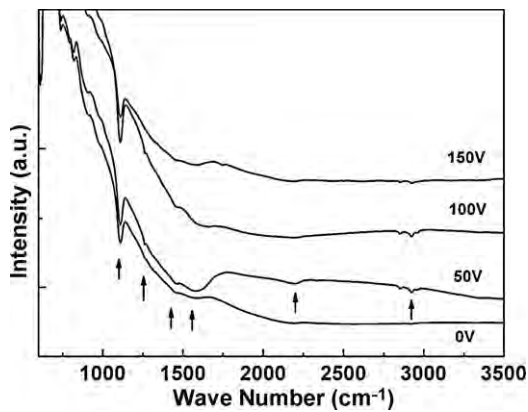


Fig. 6. FTIR spectra of the CNx films at different negative bias.

negative of 100 V. However, at the negative bias of 150 V,  $I_D/I_G$  and  $I_D/I_G$  gives different changes that the former decreased while the latter raised a little. G peak position reflects the bonding strength, and large full width at half maximum ( $\Gamma_G$ ) reflects bond length and angle distortion [22–25]. In present work, the G peak positions and  $\Gamma_G$  had no obviously change (at about 1590 and 120  $\text{cm}^{-1}$ , respectively) until the negative bias of 150 V was employed (at 1575 and 140  $\text{cm}^{-1}$ ). Thus,  $I_D/I_G$  increased at 50 V indicates a increasing of cluster size.

Fig. 6 shows the FTIR spectra in the range of 3500–750  $\text{cm}^{-1}$  of a series of carbon nitride films deposited at the discharge voltages of 0, 50, 100, and 150 V. A broad absorption band between 1000 and 1700  $\text{cm}^{-1}$  appeared in all the spectra. The appearance of this band in the FTIR spectra is the strongest evidence for the chemical incorporation of nitrogen atoms into the amorphous carbon network. After closer inspection of the FTIR spectra in this range, four peaks centered at 1100, 1253, 1380, and 1570  $\text{cm}^{-1}$  could be clearly distinguished from the spectra. The peak of 1100  $\text{cm}^{-1}$  was assigned to Si–O vibration and the others was attributed to the possible C=C, C=N, and C≡N bonds [21,24,26]. A small peak at 2200  $\text{cm}^{-1}$  was also observed obviously in the case of negative bias of 50 V, which may be attributed to the C≡N. The highest peaks at 1380, 1570 and 2200  $\text{cm}^{-1}$  of the sample at 50 V implies that the nitrogen incorporation content achieved to the maximum, which was in good agreement with XPS and Raman results. The features in the spectral range 2800–3000  $\text{cm}^{-1}$ , aroused from C–H stretching vibration.

### 3.3. Surface morphology

The surface morphology of the samples is characterized by AFM. For each sample, the same scan area ( $1 \mu\text{m} \times 1 \mu\text{m}$ ) was chosen in all measurements. Fig. 7 shows the AFM images obtained from the films deposited at 0 and 100 V. It is found from Fig. 7 that the surface of the film deposited at 0 V is rougher than that of the film deposited at 100 V. But we found that there is no simple relationship between the negative bias and the roughness of the films surfaces. The values of root mean square (RMS) roughness of the films with increasing the negative bias are 0.80, 1.10, 0.36 and 0.75, respectively. The trend of surface roughness of the films with the negative bias is in good agreement with the results of FE-SEM and Raman discussed above.

### 3.4. Field emission properties

The dependency of the emission current on the applied bias was measured by linearly increasing the voltage across the vacuum gap, and the resulted curves are shown in Fig. 8. With the increasing of the negative bias, the values of electric field required to obtain the

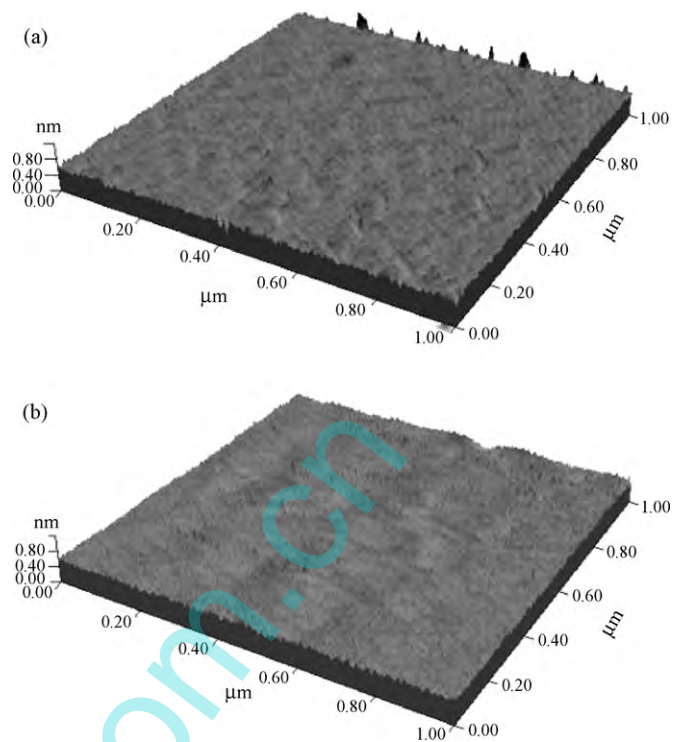


Fig. 7. The surface morphology of the as-deposited films: for the film deposited 0 V (a) and 100 V (b).

current density of 1  $\mu\text{A}/\text{cm}^2$  are 3.2, 4.0, 13.0 and 4.8  $\text{V}/\mu\text{m}$ , and to obtain the current density of 10  $\mu\text{A}/\text{cm}^2$  are 13.5, 11.0, 22.5 and 14.5  $\text{V}/\mu\text{m}$ , respectively.

It is well known that the incorporation of nitrogen atoms into carbon atoms acts as a weak donor state and alters the joint density of states in the films. Satyanarayana et al. [27] suggested that lower levels of nitrogen addition could increase emission significantly in a-C films. Zheng et al. proposed a model of bonding and band-forming [28], in which the involvement of nonbonding (lone pair) and lone-pair-induced antibonding (dipole) states is suggested to be responsible for lowering the work function and hence the electron emission threshold. That is to say, the nitrogen atoms state and joint density rather than nitrogen content plays the key role for lowering the threshold electric field of emitters in cold cathode emission. On the other hand, Carey et al. suggested that the size and concentration of  $\text{sp}^2$  C clusters [29] play the key factors. The application of the external field will result in local field enhancement around the clusters and will aid in the emission of electrons.

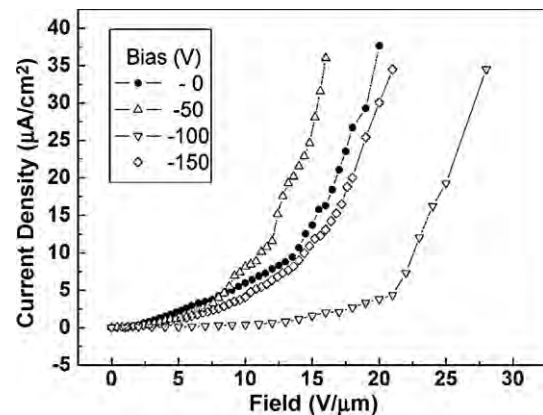


Fig. 8. Field emission properties for films deposited at different negative bias.

A similar conclusion was stated by Ilie et al. [30,31]. Besides, they concluded that  $sp^2$  phase ordering in graphitic planes perpendicular to the emission direction was found to be clearly unfavorable to emission and increasing the cluster size derived from Raman spectroscopy in the 1–10 nm range improves emission [26,33]. In our present work, the size of  $sp^2$  aromatic clusters is in the range of 1.8–2.4 nm, according to the Tuinstra–Koenig (TK) relationship [30]. These rich  $sp^2$  carbon clusters with good connectivity in the films act as conduction part of the amorphous network, which lead to degrading electron emission barriers and raising Fermi level to enhance electron emission [31–33]. The lowering the threshold electric field in our work could be explained by the model of bonding and band-forming. However, the electron emission seems to be strongly related to the surface roughness: rougher surface means that there are more dense protrusions in the film surface, which can geometrically promote the enhancement of the electric field [34].

#### 4. Conclusion

A series of nanostructures CNx film were deposited by reactive magnetron sputtering. SEM, XPS, Raman and FTIR results identify each other and show that, with the increase of the negative bias from 0 to 150 V, the nanocolumn structure of the CNx film degraded and the porous structure appeared. Nitrogen here plays a role for the formation of CNx nuclei at 0 V, which can be considered as the “seeds” for the growth of the columnar structures. At the lower negative bias, the bombardment with a low-energy particles stream could effectively enhance surface mobility and consequently facilitate film growth. However, at high negative bias (150 V), bonds in the interface of the disturbance particles were probably unstable, and could be easily broken out by high-energy particles bombardment, giving rise to a porous structure. The results suggest that the regular nanocolumns only can growth below the negative bias of 50 V. Furthermore, a comparison study of the field emission properties show that the field emission properties of the CNx films were related with the introduction of the nitrogen atoms, the size and concentration of  $sp^2$  C clusters and the surface roughness. The films with rougher surface have lower threshold field in the present work.

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